



Northeastern University **Audit and Advisory Services**

Audit & Advisory Services

**If you have an audit suggestion or are interested in requesting our services,
please complete the following form.**

**To make an anonymous request or to learn more about the University's Confidential &
Anonymous Reporting Line, visit northeastern.ethicspoint.com**

Requested by: _____

Date: _____

Phone: _____

Email: _____

Please provide a description of the request:

What type of service is needed?

- ☐ **Operational Review** – To provide an assessment of processes, systems, operations and strategies to ensure adherence with internal controls, and to determine that adequate policies and procedures exist to support operations.
- ☐ **College / Control Assessment** – To ensure that adequate internal controls exist over the activities of departments as they relate to the use of University resources and ensure compliance with University policies and external regulations.
- ☐ **Information Technology Review** – To provide an assessment of the University's information technology organization including controls over program development, change control, applications, system security, databases, logical security, and physical security as they relate to the University's business activities.
- ☐ **Compliance Review** – To ensure University departments and operations adhere to applicable regulations, and to determine that University policies exist to support compliance with regulations.
- ☐ **Financial** - To provide an assessment of internal controls over financial reporting including controls over revenue and expenditure processes.
- ☐ **Advisory Services** - To assist management in meeting its objectives. Examples may include facilitation, process design, training, and advisory services.

Please describe the level of urgency:

- ☐ **High / Immediate**
- ☐ **Medium / Near Future**
- ☐ **Low / Non-Urgent**

Other issues or concerns: _____

Please email completed form to: audit@northeastern.edu